



Client Name / Business name	Forico Pty Ltd
Date(s) of review:	During the week Monday 9 th to Friday 13 th July 2018, with specific focus on AS4708 during Wednesday to Friday.
Type of review	<input type="checkbox"/> Stage 1 pre-certification; <input type="checkbox"/> Stage 2 certification; <input type="checkbox"/> Surveillance post certification; <input checked="" type="checkbox"/> Re-certification; <input type="checkbox"/> Follow up or special audit
Site visited (include address)	Central Office: 16 Techno Park Drive, Kings Meadows, Tasmania 7250. Nursery: McKays Road, Somerset, 7322. Regional office: De Boer Drive, Ridgley, 7321. Field sites: details of which are given later in the report. Woodchip mills: East Tamar Highway, Long Reach 7253; 2753 Ridgley Highway, Hampshire 7321.
Certification standard	AS 4708: 2013
Scope of activities within the scope of certification (short description)	Management of plantation forests for wood products and native vegetation for non-commercial purposes. Container nursery operations. Tree improvement activities.
Scope of the audit / review	All requirements of the standard as controlled by the Forico forest management system [FMS] and practiced in field and nursery activities throughout Tasmania.
Names of review team members:	Jonathan Tibbits [JT - Longreach mill only], Pierre Mare [PM - AS4708 auditor in training as observer] and Wayne Tibbits [WT - Audit Team Leader].
Name of Global-Mark Client Manager, and signatory to this report:	Wayne Tibbits
Brief description of the FMUs [Forest Management Units]	There are plantation
Brief description of the DFA [Defined Forest Area] including its area as advised to the Scheme Owner (including updates)	173,042 hectares of permanent forest within the 179,000 plus hectares.
Guidance as to how to obtain further details of the DFA may be accessed	The website of Forico Pty Ltd: https://forico.com.au/environmental-policies
Exclusions to the standard/management system	Nil.
Name of Management Representative	Simon Cook

Review team declaration:

We confirm that for the purpose of this review:

- We did not have any conflict of interest with and is fully independent from the company listed above
- We had the review team has sufficient resources, and competences to complete its review and reach its conclusions,
- We had the appropriate credentials to perform this review in accordance with Global-Mark and applicable accreditation requirements.

Comment and disclaimer on this report:

- This report does not and should not be seen as advice. Please consult a qualified advisor or consultant for advice.
- Due to the sampling nature of third party business reviews, the time available and samples size, some issues, non-compliances or improvements might not have been identified in the present report. This does not imply that these issues do not exist, or are in compliance. Employees, management and other stakeholders of the organization need to and are responsible for, continuously identifying and taking necessary controls to ensure continued compliance with the standard(s), and improvement.
- Readers of this report should make judgement taking the above into account.
- The report is confidential, and is owned by the organization listed above, Global-Mark Pty Ltd and the review team members who participated in its preparation. The summary of it is publicly available through Global-Mark.
- Global-Mark reserves the right to make this report available to regulators, and/or funding providers if requested.

Attendance to opening and exit meeting (please refer to scanned attendance sheet)

Name (s)	Opening meeting	Closing meeting
As per scanned attendance sheet, which is part of PDF report.	<input type="checkbox"/> Present; <input type="checkbox"/> Not	<input type="checkbox"/> Present; <input type="checkbox"/> Not



1 Review team conclusions

This is the first audit of Forico Pty Ltd by Global-Mark after a transfer from the previous Certification Body. It was also a recertification audit.

Forico addressed audit findings from the previous Certification Body, confirmed by Global-Mark assessing evidence at this audit. There were two matters of non-conformance that the Global-Mark audit team identified as findings. One was identified as major and related to calculation of carbon storage. The calculation did not cover the entire Defined Forest Area nor did they project into the future. This had not been detected at previous audits. Another was rated as a minor nonconformance and had to do with safety and health. The client has promptly set corrective action plans in place for both of these and the major could be downgraded to a minor nonconformance.

Forico Pty Ltd has demonstrated that it operates a forest management system, which meets the requirements of AS4708. The audit team found a number of components that were worthy of note and considered noble practices very well done. These are detailed further on in the contents of the report.

We believe that the organization listed above at the site(s) listed above has the capability to systematically meet the requirements of the Australian Forestry Standard and for the activities/products and sites listed on the Global-Mark scope of certification.

Mandatory reporting checks	Audit team leader comments
What is their DFA category for PEFC notification fee schedule?	<input type="checkbox"/> 1 to < 5,000 hectares; <input type="checkbox"/> 5,000 to < 25,000 hectares; <input type="checkbox"/> 25,000 to < 50,000 hectares; <input checked="" type="checkbox"/> > 50,000 hectares.
Certificate includes a brief description of the geographic locality(ies) of the FMUs comprising the DFA in the scope of certification.	Yes, as they are spread across Tasmania.
Certificate includes address for obtaining more information on the DFA (i.e. Summary Reports, DFA maps etc.).	There is reference to maps available from Forico, and these maps are regionally identified. Summary audit reports are mentioned in scope.
Client has maintained records of all communications including complaints and corrective action taken in accordance with the requirements of AS 4708 or NZS AS 4708 (as applicable), and these were available Global-Mark on request. This includes correspondence, recommendations and actions documented by the Scheme Owner.	These are maintained and some are referred to in the main body of this report.
If there was any breach of regulatory requirements within the scope of certification to AS 4708 or NZS AS 4708, did the client notify Global-Mark in writing within five business days?	Nil breaches.
How does the client maintain a DFA register used that has details of all separately described blocks or forest management units?	Procedure [approved 2016] exists for compiling "State of the Estate Report". This is clear and considered well done.
How does the client maintain a system that can track additions and/or deletions to the DFA between audits and that can provide areas and maps of any changes?	In the GIS, with summary provided in "State of the Estate Report".
Have there been any significant changes to the DFA and if so when did they advise Global-Mark?	This is not relevant, as the client has only recently transferred to Global-Mark.
How does and when did the client provide the Scheme Owner [Responsible Wood or New Zealand Forest Certification Association] with an annual statement confirming the current DFA?	No formal system was in place. Previously Forico relied on the former Certification Body. Finding № 5 was rated as an Observation: See below where it relates to how the forest manager will "provide the Scheme Owner(s) with an annual statement confirming the current DFA."
How would Global-Mark summarise the	There are several positive observations.



Mandatory reporting checks	Audit team leader comments
most important observations, positive, negative, regarding the implementation and effectiveness of the client's forest management system and including considerations of compliance risks arising from activities of relevant stakeholders?	<ul style="list-style-type: none"> • "State of the Estate Report" is well presented. • Staff Employee Survey results indicates positive attitudes towards roles and workplace. • Evidence of research effort was quite clear. • From a sample of stakeholders who Global-mark contacted we gleaned that relationships with Forico were robust and the CEO was held in high esteem for their role in engagement. • Forico invests in active monitoring and management of its native vegetation estate with 37,735 hectares in reserves.
What are any applicable qualifications for scale and intensity, as referred to in AS 4708 or NZS AS 4708 (as applicable)?	Large-scale application across the entire DFA.
What sampling methodology was used to determine the size of sample of active blocks?	A list of active operations in period of three months April to June was sought from client and provided. There were 154 active operations. The Audit Team Leader calculated minimum of 13 to sample, with four at random.

Mandatory logo use checks	Audit team leader comments
What are the licence registration numbers provided by the Scheme Owner?	See AS4707 report.
What does the client store the licence agreement with the Scheme Owner?	See AS4707 report.
Where does the client use the PEFC and/or Responsible Wood logos?	See AS4707 report.
When the client used the PEFC and/or Responsible Wood logos, what essential elements were fulfilled?	See AS4707 report.
In on-product and off-product use, how were the Logos reproduced according to the measurements, colours and other specifications detailed in the respective Logo Reproduction Tool Kit?	See AS4707 report.
What if any additional voluntary information is included?	See AS4707 report.

2 Review teams findings (major, minor non-conformities or observations)

At the conclusion of this review the following presents the status of new and existing findings:

Number of findings: Only those specific to AS4708 [№ 5-16] are shown. Two are also associated with ISO 14001 [№ 8, 14] AS4707 and FSC are not shown: 1-4 specific to AS4707 and specific to FSC № 22-30. AS 4708 specific are № 5-7, 9-16.

Finding Details	# Major non-conformities	# Minor non-conformities	# Observations	Total
New findings opened during this audit [№ 5-16]	1	1	10	12
New findings regraded during this audit [№ 13 goes from major to minor, so only one changes.]	1	1	0	0
Findings open at the end of this audit [№ 5-16]	0	2	10	12



Date; Audit team	ID	Site visited [randomly selected indicated blue & inactive in green highlight;]
Wednesday 11 th July; Jonathan Tibbits, Pierre Mare Northeast Tasmania sites	1	BR126A, Ringarooma, GI & SR Cox - Casegrande Lumber. FPP № FPL049 at Cuckoo Hill Rd. <i>E. nitens</i> Plantation clearfall operation. No contractors/operators were on site. Sighted map identifying significant values and features on site. The site was quite wet, however soil and water appeared to be well managed considering. Identified buffers were well observed and there appeared to be no breaches of buffers (streams and native vegetation). Two stream crossings were inspected for siltation and potential damage and scouring as a result of the harvesting and forwarding operations with none observed.
	2	LL159, Lilydale, Casegrande Lumber. FPP № FPL0559-01 harvesting pine at Second River Road. Sighted map identifying power line hazard on site, water storage easement and existing roads and watercourses. Walked through site and inspected and discussed stump heights and managing contractors and communicating expectations. Observed truck log loading with excavator.
	3	D15PK140C. FPP № FPL0150 for Porters Bridge Rd. Sighted application for variation to certified forest practices plan, dated 8/12/2017 and Forest Operations SHE Plan.
	4	D17PK154D, Brady's Creek Rubicon. FPP № FPL0484 at Dolerite Rd. This was a site for <i>E.nitens</i> plantation. The plan was certified on 26/10/2017.
	5	D17PK203D, Christmas Hills. FPP № FPL0419-01 for coupe PK203A. Sighted Pesticide Application Plan (signed by Lindsay Cannon on 7/3/2018), for Long Ridge Rd, Montana. Sighted Job Risk Assessment (JRA) / Coupe Risk Assessment (CRA) for PK203D.
Wednesday 11 th July; Wayne Tibbits Southern and Central Highlands Tasmania sites	6	NG250 Woodward Freehold. Rehabilitation filling of wetland through works two years previously to fill in drain. Rare species <i>Juncas vaginatus</i> . Southeast Tasmania location.
	7	NG131B clearfall harvest operation of <i>E.nitens</i> by contractor BR & KF Muskett. Walked extensive parts of coupe confirming boundary markings and Utilisation. Interviewed Supervisor, two operators and truck driver.
	8	DW262A-B clearfall harvest operation of <i>E.nitens</i> by contractor Orana Enterprises. Central Highlands location. FPP № FPL0590. Walked extensive parts of coupe confirming no presence of wildlings or signs of hybridisation. Sighted monitoring and safety inspection reports.
Thursday 12 th July; Jonathan Tibbits Northwest coastal sites	9-11	TK136N at Oldina Road. TK136L at Stewarts Road. TK109G at Elsie Road. All silvicultural operations.
	12-13	SQ107A at Dodd's - Greens. SQ159K at Lower Beulah.
	14	Armistead property with significant Aboriginal heritage values.
Thursday 12 th July; Wayne Tibbits Northwest inland sites	15	East Ridgley clonal seed orchard of <i>E. nitens</i> .
	16	LO147A at Loonganna Road. A recently completed harvest operation of <i>E.nitens</i> plantation owned by another party.
	17	Inactive 2015 establishment of <i>E.nitens</i> plantation.
	18	PT106A at Belly Road. An active harvest operation of <i>E.nitens</i> plantation. Sighted thinned area of 1.0 hectare as part of exclusion zone for Wedge



Date; Audit team	ID	Site visited [randomly selected indicated blue & inactive in green highlight;]
		Tailed Eagle nest.
	19	Gatcomb South native grassland reserve on Surrey Hills. Inactive but inspected past treatments used to control shrub layer and promote grassland.
	20	LK108K at Walters Road. An active harvest operation of <i>E.nitens</i> plantation by contractor Orana Enterprises. FPP № FPL0512. European heritage of Weybridge of Van Diemen's Land Company. Walked up small steep section of site.
	21	LK108W at Walters Road. A recently completed site preparation operation for <i>E.nitens</i> plantation.
	22	Convict Road former site of Van Diemen's Land Company. Hence, European cultural heritage. Devon contracting were operating excavator doing site preparation.

This is the end of the publicly available summary of the 2018 audit report provided by Global-Mark Pty Ltd.